

Automated Expungement and Notification

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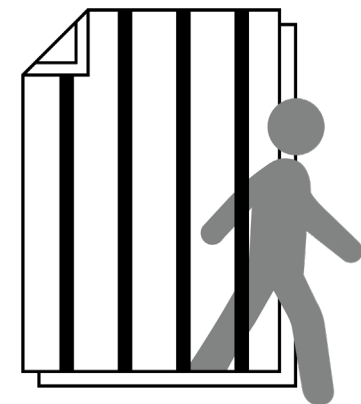
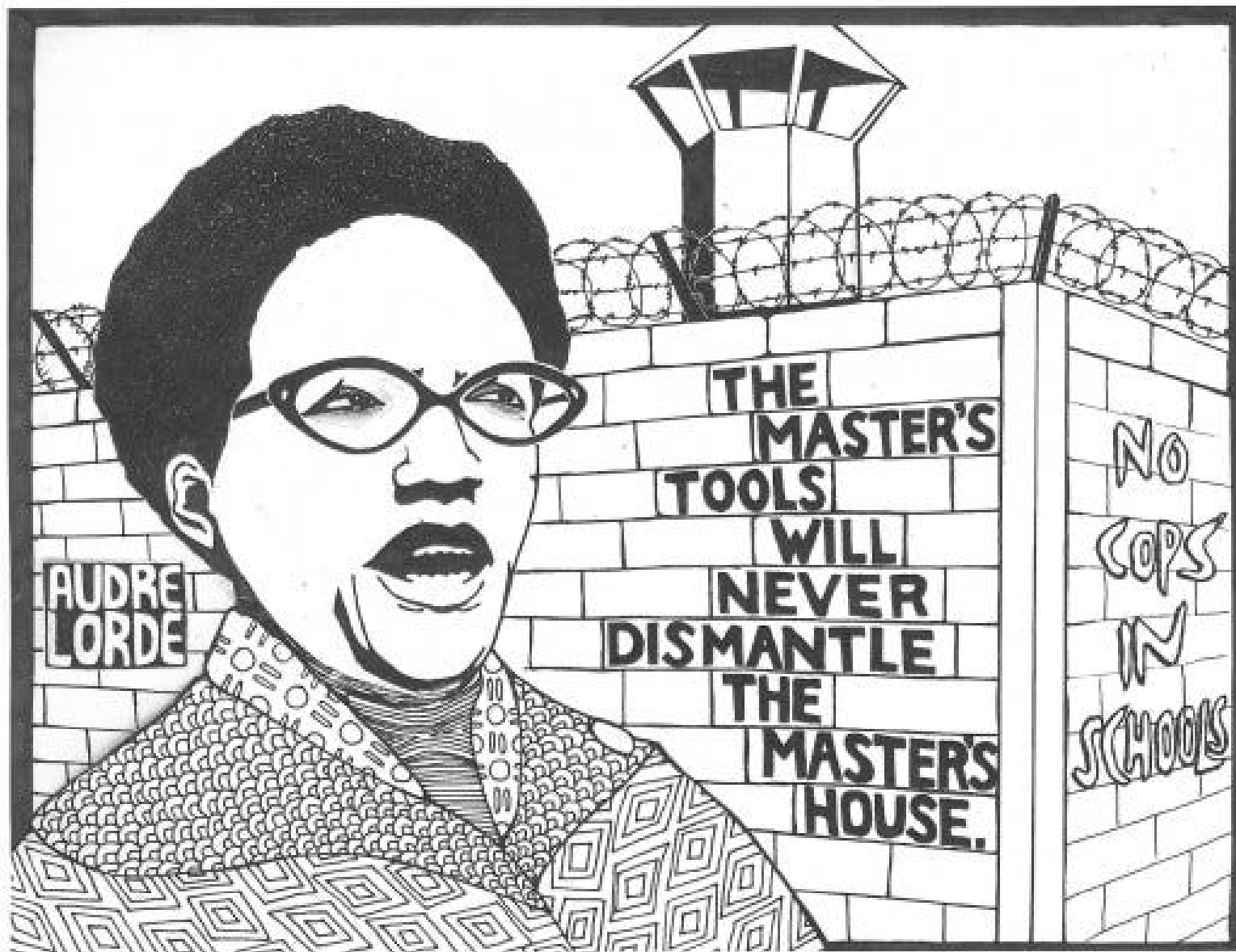
PAPER PRISONS

How GenAI can Address the Access to Justice Gap in Expungement

Professor Colleen Chien, with help from Miriam Kim, Kelly Tsu, Yangxier Sui, and Audrey Im

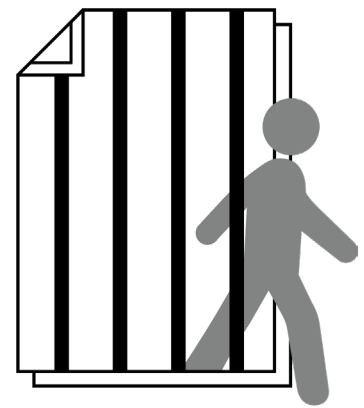
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The Paper Prisons Initiative conducts rigorous research to address the “second chance gap” between eligibility and delivery of relief from the criminal justice system.



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- 1 in 3 adults has a criminal record.
- Many could clear their records under the existing expungement law
- But lack of awareness, bureaucratic hurdles have led less than 10% of those eligible for relief to receive it.
- An estimated 20-30M Americans remain held back by red tape, not steel bars, in terms of access to expungement.

Other realms of research: RJA (and forthcoming RJA tool), Drivers License Suspension (and reinstatement)

Today's Demo:

→ Going from CA Expungement Eligibility to

- Expungement App
- Chatbot
- English/Spanish

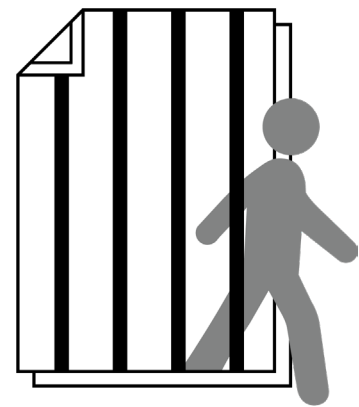
Using ChatGPT, Claude, Tidio, and Gavel.io

Using automation and AI to build empathy, change laws

- Using website tools, ChatGPT, Claude

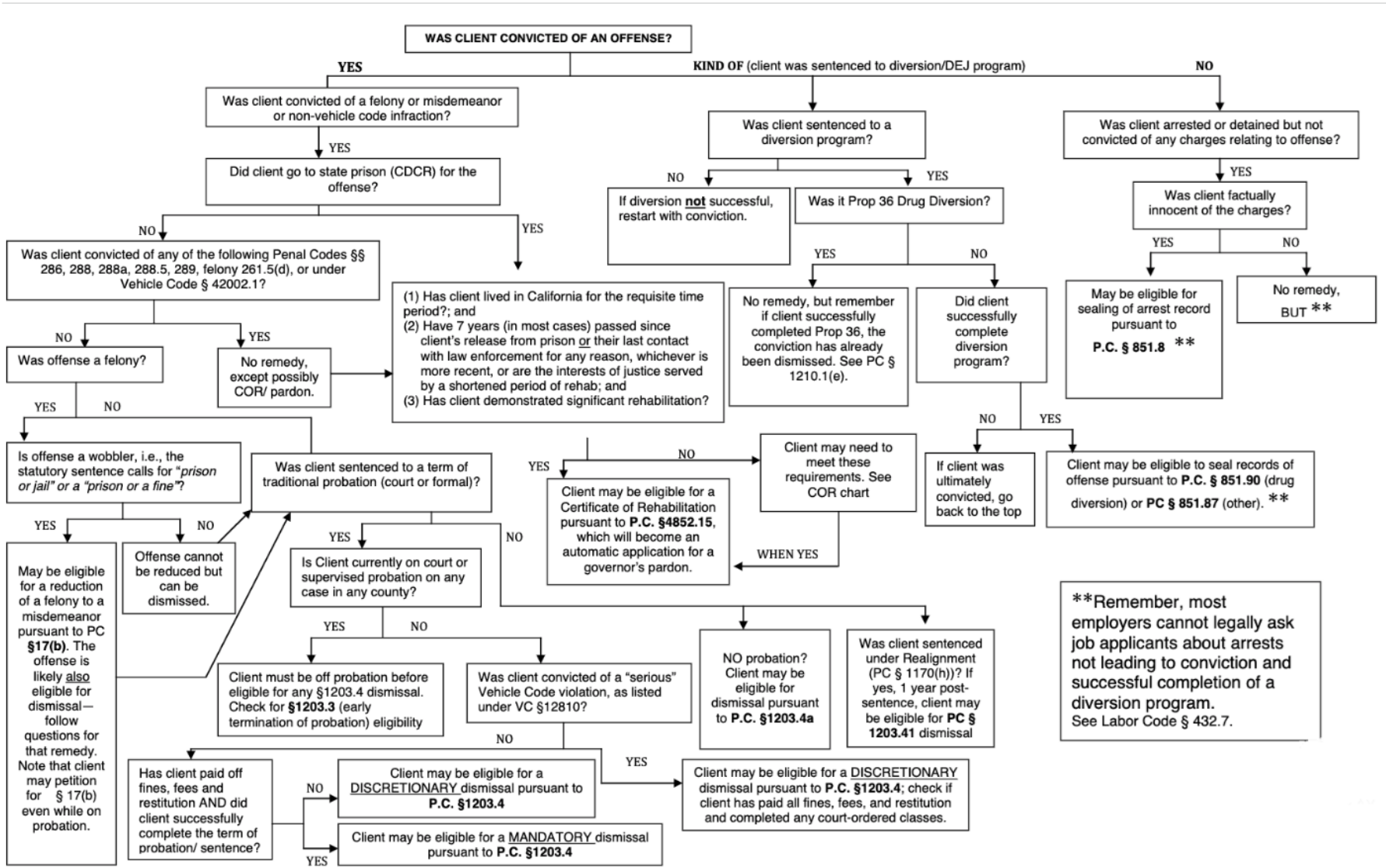
Bots and things

Generative AI & Legal Aid: Results from a Field Study and 100 Use Cases to Bridge the Access to Justice Gap



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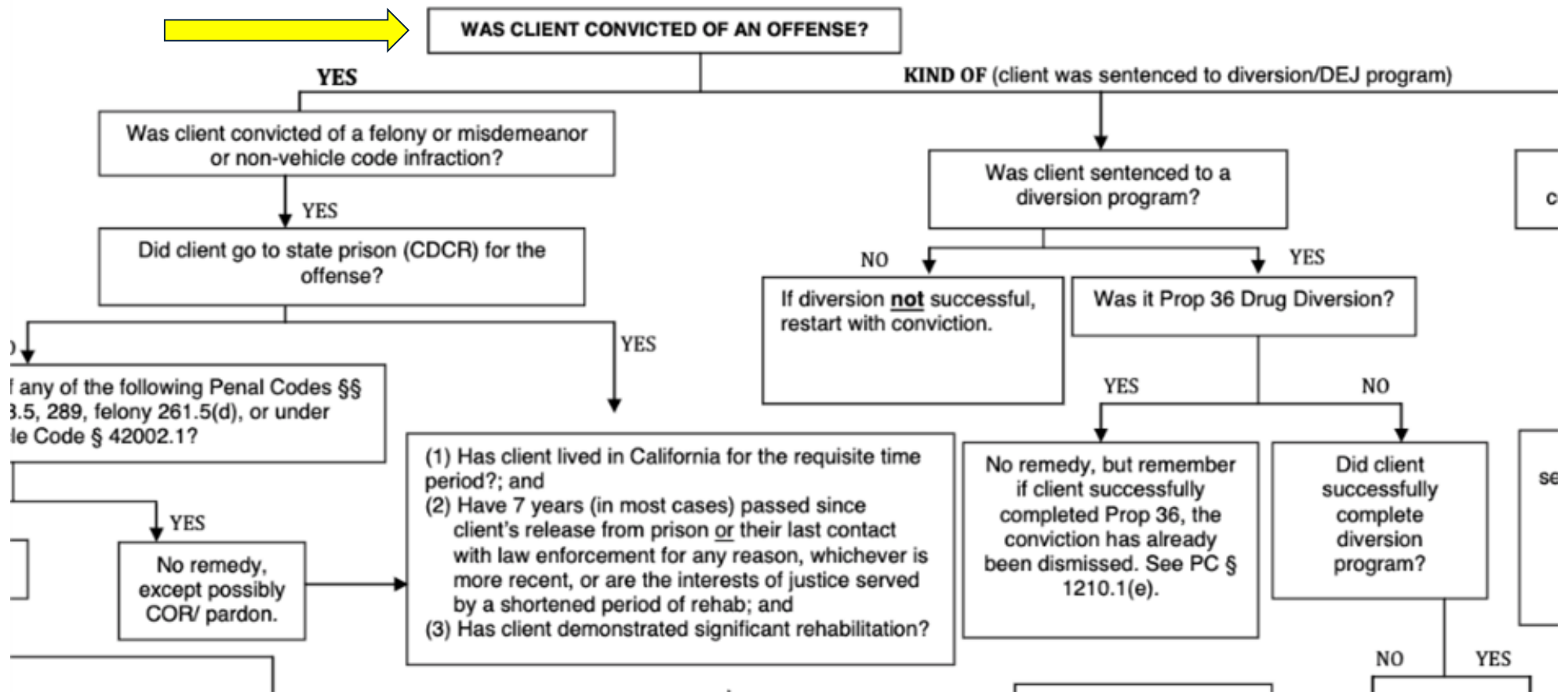
Starting Point: Expungement Eligibility Flow from SLO public defender



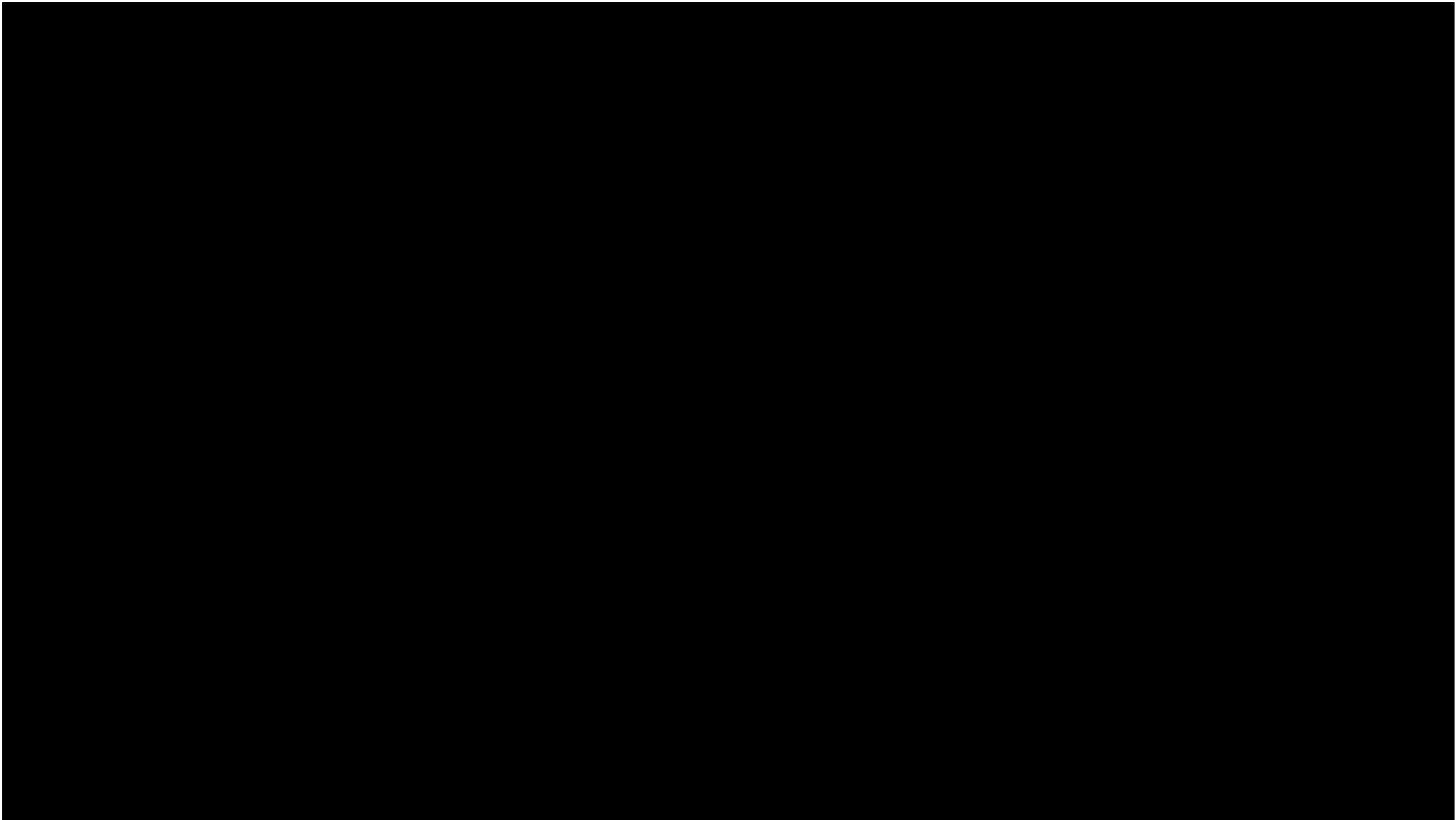
****Remember, most employers cannot legally ask job applicants about arrests not leading to conviction and successful completion of a diversion program. See Labor Code § 432.7.**

Starting Point: Expungement Eligibility Flow

ent/uploads/2019/08/Dismissal-Flow-Chart-2.png

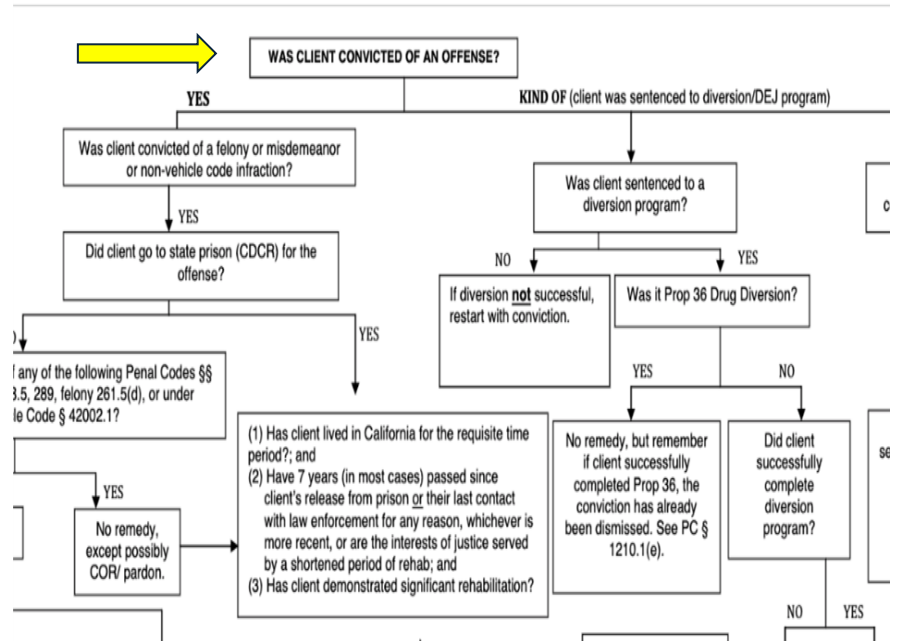


Eligibility Flow —> Online Tool



Eligibility Flow —> Online Tool

ent/uploads/2019/08/Dismissal-Flow-Chart-2.png



Eligibility for Conviction Dismissal or Reduction

Were you convicted of an offense?

Yes

No

I was sentenced to diversion/DEJ program

Were you convicted of a felony or misdemeanor or non-vehicle code infraction?

Yes

No

I was sentenced to diversion/DEJ program

Were you convicted of a felony or misdemeanor or non-vehicle code infraction?

Yes

No

Did you go to state prison (the California Department of Corrections and Rehabilitation) for the offense?

Yes

No

Were you convicted of any of the following offenses?

- Sodomy (Penal Code section 268)
- Lewd act upon a child (Penal Code section 268)
- Oral copulation upon a child (Penal Code section 268a)
- Continuous sexual abuse of a child with force (Penal Code section 268.5)
- Sexual penetration by force (Penal Code section 269)
- Rape (Penal Code section 261)
- Failure to stop and submit to inspection (Vehicle Code section 42002.1)

Did you go to state prison (the California Department of Corrections and Rehabilitation) for the offense?

Yes

No

Was your offense a felony?

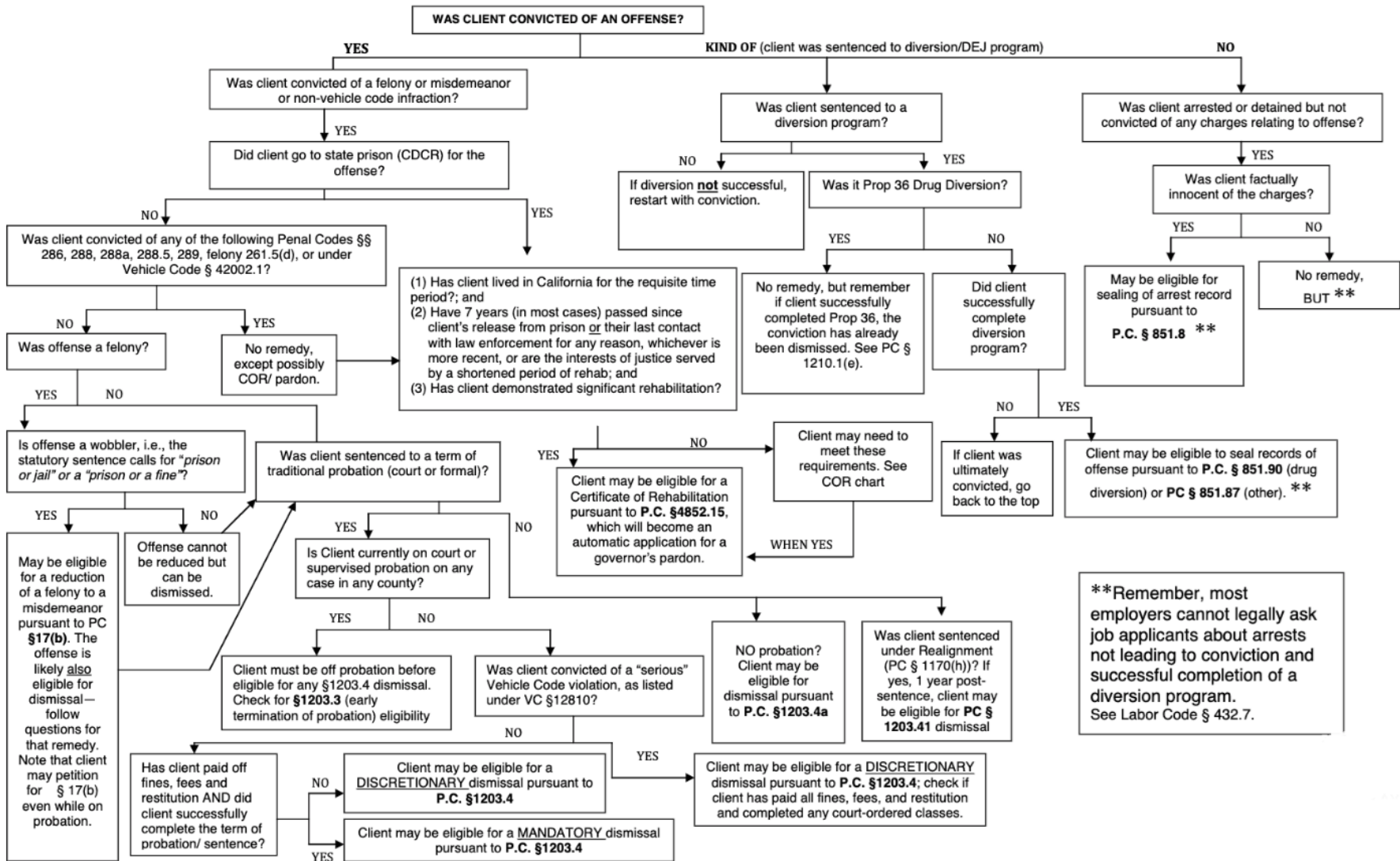
Yes

No

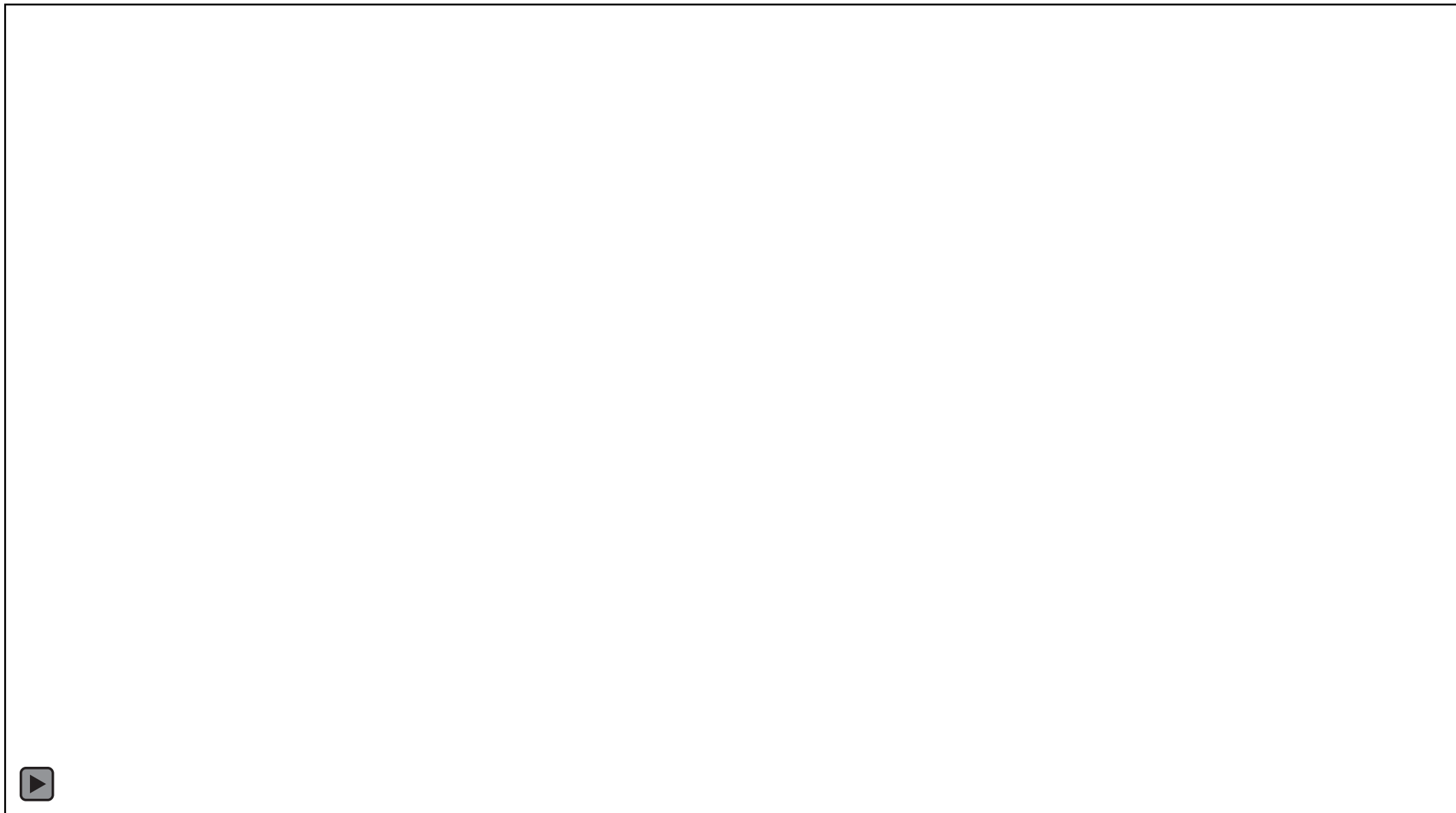
You may be eligible for dismissal. Please proceed to the next page to check your eligibility for dismissal. Contact your public defender to take further action.

[Continue](#)

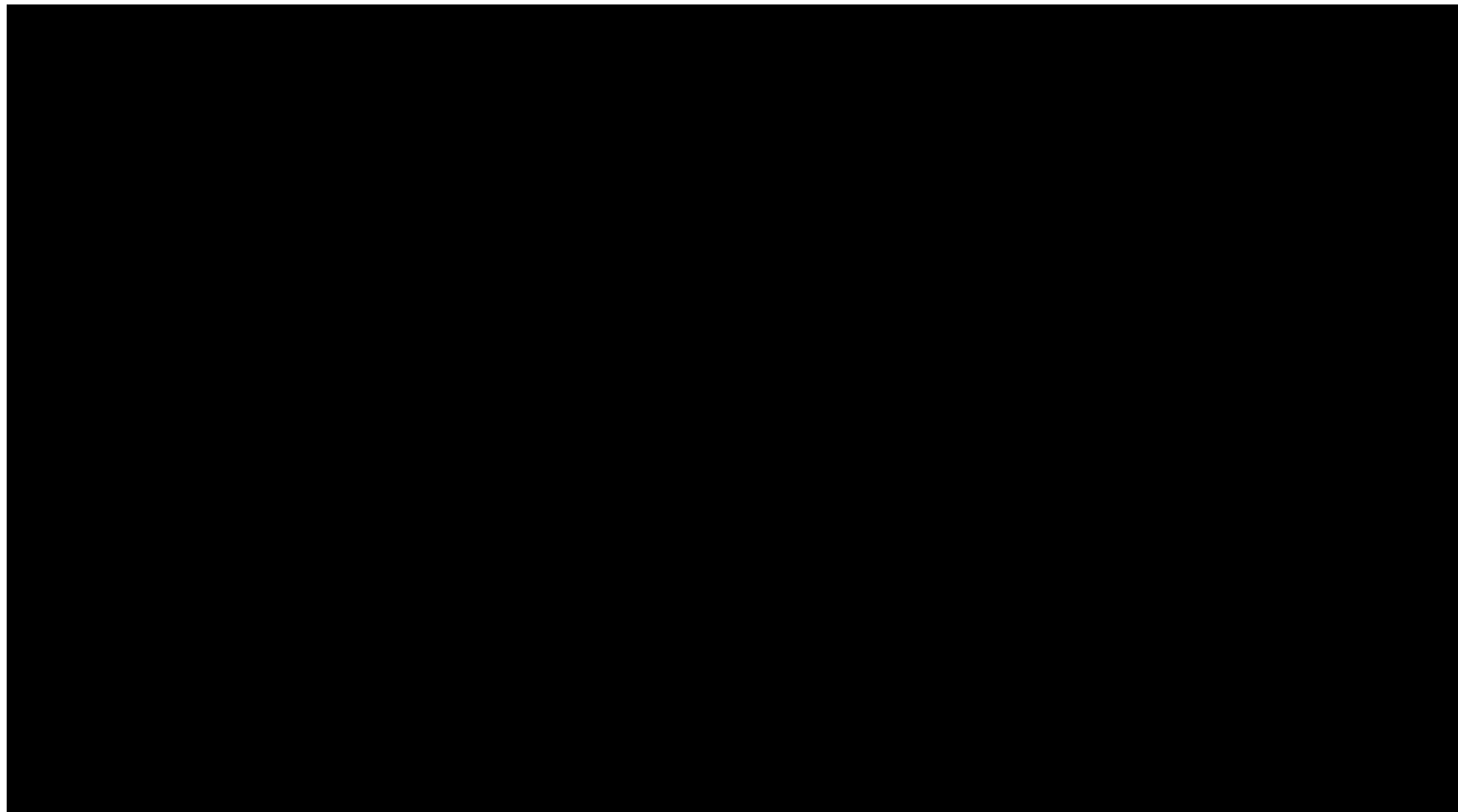
Starting Point: Expungement Eligibility Flow from SLO public defender



Eligibility Flow -> Chatbot Tool (English)



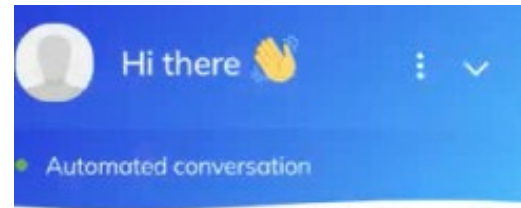
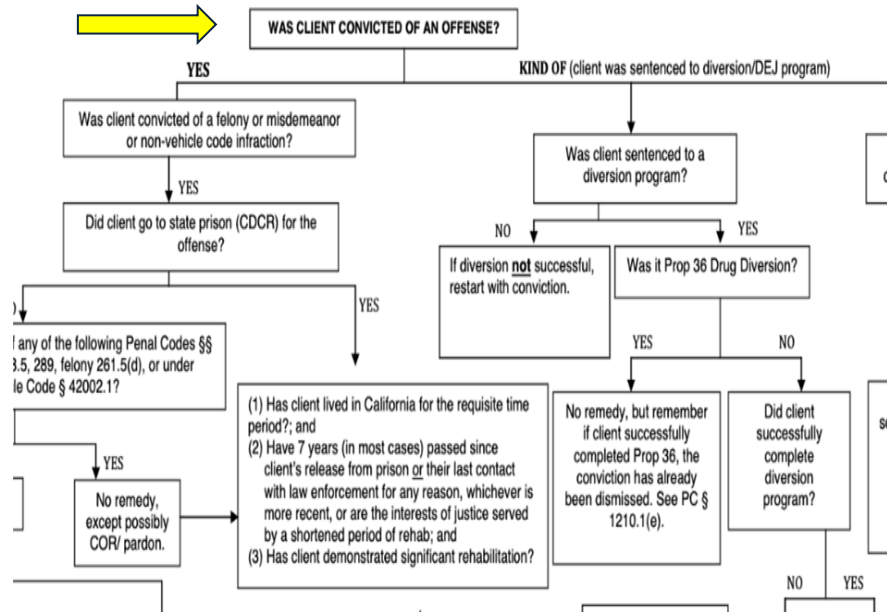
English Flow → Spanish Flow



Finished Chatbot



ent/uploads/2019/08/Dismissal-Flow-Chart-2.png



English/ingles

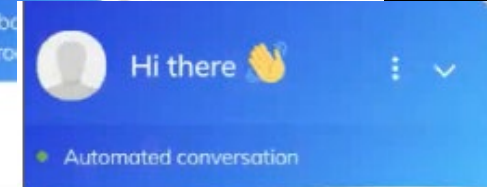
Hello! Welcome to paperprisons.org!
How can we help you today?

I am just looking on this website for research.

I am confused about the expungement process.

I am confused about expungement process

Enter your message:



offense/crime?

Yes

Did you go to state prison for the offense?

Yes

Have you lived in California for the requisite time period?

Yes

No

Today's Demo:

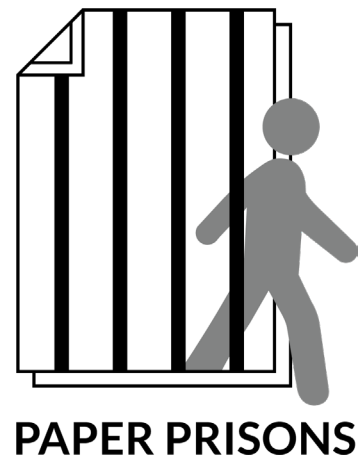
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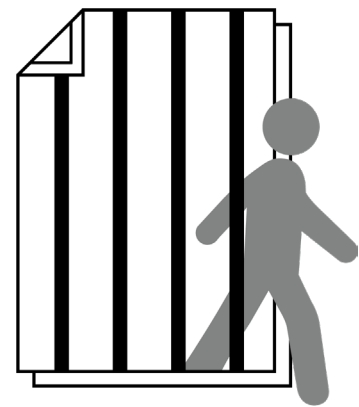
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Paper Prisons supports Clean Slate automation Law change by sizing the second chance gap between eligibility and delivery of expungement



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TO DO

700: Email Terri Ranzolph (Fort Bend County) to ask about the status of our request. Someone in her office responded we cannot get expungement statistics for Fort Bend County.
 710: Talked to Lisa Cox and re-submitted Denton County data request.
 710: Called Terri Ranzolph (Fort Bend County) and enabled her the expungement statistics request.
 719: Called Lisa Cox, she did not pick up, left a message.
 72: Called Lisa Cox, she did not pick up, left a message.
 73: Called Lisa Cox, she did not pick up, left a message.
 74: Called Lisa Cox, she did not pick up, left a message.
 75: Followed up with Lisa David (Williamson County) and Harris County. Called Lisa Cox, she did not pick up.
 77: Called Lisa Cox, she did not pick up.
 800: Called Lisa Cox, she did not pick up.
 800: Called Collin County District Clerk's Office. Their expungement clerk received our request and forwarded it to general department. They are going to a report update, they sent me a letter that said they will not give us expungement statistics. Called Lisa Cox (Denton County) and left a message. Fort Bend called and said they passed the request to the County District Attorney.
 806: Called Collin County District Clerk's Office. Submitted request to Fort Bend County.
 824: Received an email from Montgomery County, they do not have statistics. Talked to Lisa Cox (Denton County), process is complicated and we probably would not be able to get numbers for any other time period other than the present. Lisa said that the numbers she might be able to get us would be inflated because all records of a case are destroyed following a 180-day sealing period when expungements are granted.
 822: Called Lisa Cox, she said she thought a clerk had sent me their stats, so she is going to figure out what happened and call me back today.
 819: Sent a follow up email to Montgomery County. Sent a follow up to Harris County asking about the status of our request.
 817: Sent a reminder email to Lisa David about breaking down expungement numbers.
 816:20: Sent follow up email to Lisa David asking to break down the number of expungements by year.
 815:28: Called Williamson County and spoke to Lisa David. She is going to do some research for us and get back to us. I left her my phone number.
 815:20: Submitted request to Harris County using previous form.

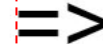
From Code



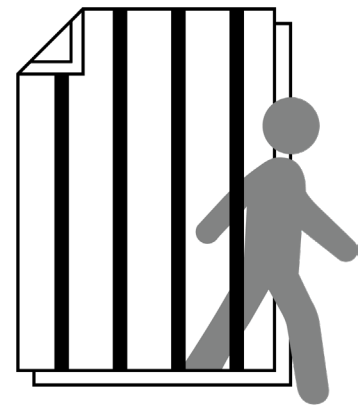
To Code

```

    $.eachEvent("onreadystatechange",H).e.attach
    Clean Number String Array Date Reg
    _=();function F(e){var t=[e];return b,e
    t[1]==!&&e.stopPropagation(){r=!;break}n=!;u
    ?o=mu.length:r&&(s=t,c(r));return this},remove
    tion(){return u=!;this},disable:function()
    re:function(){return p;firdwith(1,ms,regime
    wdrop,r={state:function(){return n},always:
    omise)?e.promise().done(n.resolve).fail(n.re
    id(function(){n=s},t[1]^e[2]).disable,t[2][2].
    id,nmh.call(arguments),r,mh.length,i=1;e[
    r],l=Array(r);r=t;t++;n[t]&&b.isFunction(n[t
    <table></table>e.href="/a">a/</a><input type
    tagName("input")[0],r.style.cssText="top:1px
  
```



From Legal code -> Technical Code



PAPER PRISONS

courts.state.md.us/sites/default/files/court-forms/ccdcr072g2_10.2023wm.pdf

2_10.2023wm.pdf 1 / 10 100%

MARYLAND JUDICIARY

LIST OF EXPUNGEABLE CHARGES UNDER CRIMINAL PROCEDURE ARTICLE § 10-110

A Petition for Expungement for the following misdemeanors may not be filed earlier than **five (5) years** after the petitioner satisfies the sentence or sentences imposed for all convictions for which expungement is requested, including parole, probation, or mandatory supervision (CP § 10-110):

- (l) § 6-320 of the Alcoholic Beverages Article:
Disorderly Intoxication - prohibits an individual from: (1) being intoxicated and endangering the safety of another individual or property; or (2) being intoxicated and consuming an alcoholic beverage in a public place and causing a public disturbance. (CJIS 1-1661 and CJIS 1-1662.)
- (ll) An offense listed in § 17-613(a) of the Business Occupations and Professions Article, which includes:
 - (a) § 17-502 - Deposit of money in trust accounts - requires an associate real estate broker or a real estate salesperson who obtains trust money while providing real estate brokerage services to promptly submit the trust money to the real estate broker on whose behalf the associate real estate broker or the real estate salesperson provided the real estate brokerage services. Requires a real estate broker to promptly, but not more than 7 business days after the acceptance of a contract of sale by both parties, deposit trust money in an account that is maintained by the real estate broker separately from the real estate broker's own accounts and solely for trust money. Prohibits a real estate broker from using trust money for any purpose other than that for which it is entrusted to the real estate broker. (CJIS 1-0450.)
 - (b) § 17-525 - Discriminatory real estate practices in Baltimore City prohibited - prohibits a real estate broker, an associate real estate broker, or a real estate salesperson from failing or refusing to show any residential property that is available for sale, rent, or sublease to a prospective buyer or renter, unless requested to do so by a prospective buyer or renter, because of the race, color, sex, religion, or national origin of the prospective buyer or renter or the racial composition or character of the neighborhood where the property is located. Prohibits a real estate broker, an associate real estate broker, or a real estate salesperson from failing or refusing to show all available listed residential properties that are in a certain area and within a specified price range to a prospective buyer or renter who has requested to be shown all available properties that are in the area and within the specified price range. Prohibits a real estate broker, an associate real estate broker, or a real estate salesperson, if the representation is made because of the race, color, sex, religion, or national origin of the prospective buyer or renter or because of the racial composition or character of the area where the property is located, from representing to a prospective buyer or renter that the available residential properties, prospective sites for a residence, or listings are limited to those already shown when, in fact, there is a residential property, a prospective site for a residence, or a listing that is available and within the price range specified by the prospective buyer or renter.
 - (c) § 17-526 - Discriminatory real estate practices in Montgomery County prohibited - prohibits a real estate broker, an associate real estate broker, or a real estate salesperson from refusing to show any residential property or prospective site for a residence that is available for sale, rent, or sublease to a prospective buyer or renter because of the race, color, religion, sex, marital status, national origin, or, as defined in § 20-701 of the State Government Article, disability of the prospective buyer or renter or because of the composition or character of the neighborhood where the property is located. Prohibits a real estate broker, an associate real estate broker, or a real estate salesperson, if the representation is made because of the race, color, religion, sex, marital status, national origin, or, as defined in § 20-701 of the State Government Article, disability of the prospective buyer or renter or because of the composition or character of the neighborhood where the property is located, from representing to a prospective buyer or renter that the available residential properties, prospective sites for a residence, or listings in a specified price range are limited to those already shown when, in fact, there is an additional residential property, a prospective site for a residence, or a listing in a specified price range that is available and within the price range specified by the prospective buyer or renter.
- (d) § 17-527 - Written consent required to mass solicit listings - prohibits a real estate broker, associate real estate broker, or real estate salesperson

This PC > Downloads

New folder

Name

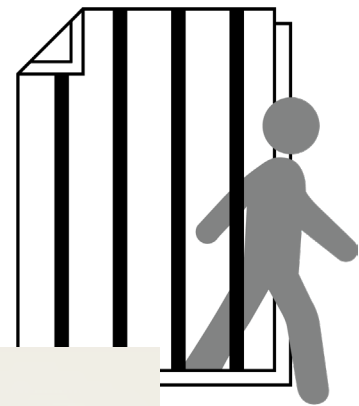
Working on it...

ame: ccdcr072g2_10.2023wm (3)

type: Adobe Acrobat Document

Save

From Legal code -> Technical Code



PRISONS

Claude
by
ANTHROPIC

extract all the code sections into a CSV format, that lists page number, header, section #, section # with Article name, name of charge "1, (I), 6-320, 6-320 of the Alcoholic Beverages Article, Disorderly Intoxication"

PDF ccdccr072g2_1... 854.60 KB

Extracting Expungement Eligibility Code Sections into CSV Format

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extract all the code sections into a CSV format, that lists page number, header, section #, section # with Article name, name of charge "1, (I), 6-320, 6-320 of the Alcoholic Beverages Article, Disorderly Intoxication"

Here is the list of code sections from the document extracted into a CSV format:

| Page | Header | Code Section | Code Section with Article | Charge Name |
|------|--------|--------------|---|--|
| 1 | (I) | 6-320 | 6-320 of the Alcoholic Beverages Article | Disorderly Intoxication |
| 1 | (I) | 17-502 | (a) § 17-502 - Deposit of money in trust accounts | Deposit of money in trust accounts |
| 1 | (I) | 17-525 | (b) § 17-525 - Discriminatory real estate practices in Baltimore City prohibited | Discriminatory real estate practices in Baltimore City prohibited |
| 1 | (I) | 17-526 | (c) § 17-526 - Discriminatory real estate practices in Montgomery County prohibited | Discriminatory real estate practices in Montgomery County prohibited |
| 1 | (I) | 17-527 | (d) § 17-527 - Written consent required to mass solicit listings | Written consent required to mass solicit listings |
| 1 | (I) | 17-530 | (e) § 17-530 - Disclosure of representation, dual agency | Disclosure of representation, dual agency |
| 1 | (I) | 17-532 | (f) § 17-532 - Duties and obligations of real estate licensees | Duties and obligations of real estate licensees |
| 1 | (I) | 17-601 | (g) § 17-601 - License required to provide brokerage services | License required to provide brokerage services |

Leveraging Tech and Automation (not just AI) is a big part of Paper Prisons' work

Racial Justice Act Tool (under development)

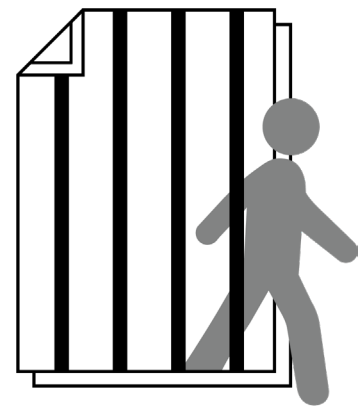
- Will allow public defenders and others to access the data they need to determine whether or not there are racial disparities

Empathy Hackathons

- Chatbot for a reentry provider to triage calls from prison
- Salesforce migration

Diary Tool

- Used to build empathy for people living with records



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Paper Prisons Diary

impact of having a record impact of expungement


We asked people with clearable criminal records how having a criminal record has impacted their lives.

Here is what they said:

It has closed doors to different opportunities and held me back and made it difficult for me to support my family. I have four kids and it makes it harder to advance or get higher paying jobs for something that happened so long ago.

- Roger P., Mexican, convicted 20 years ago

Play

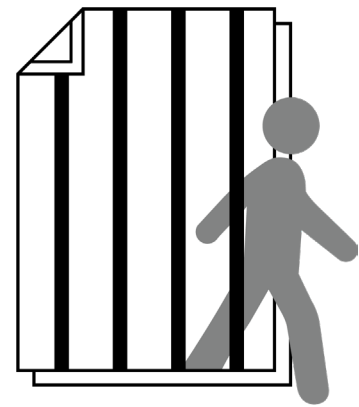

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<https://paperprisons.org/diary.html>

These are some of the nearly 1,000 responses we got to this question, as well as many others, all of the subject of a forthcoming Paper Prisons paper. Subscribe below for updates.

This tool was created by Navid Shaghagi, Ju Park, Colleen Chien, and student assistants. It is maintained by Max Calcoen.

www.paperprisons.org/diary



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SECOND CHANCES EMPATHY HACK
SANTA CLARA UNIVERSITY
#secondchances

This rotating carousel presents the testimonies of people about the impact of living with a record, to build empathy.



Paper Prisons Diary

impact of having a record **impact of expungement**

We asked people how getting an expungement has changed their lives.
Here is what they said:

My record was just cleared in December 2022 and I actually SHOUTED . Now I can fill out applications with confidence.

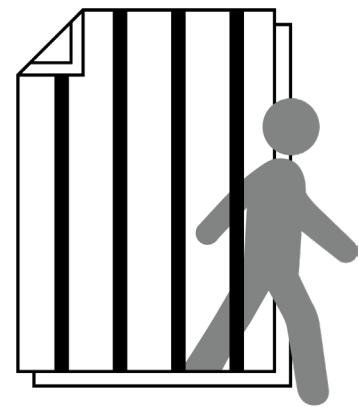
- Cyretha, African-American, convicted 12 years ago

Play



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<https://paperprisons.org/diary.html>



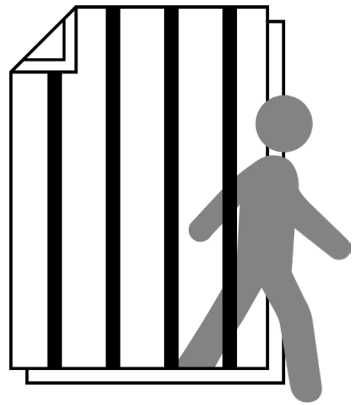
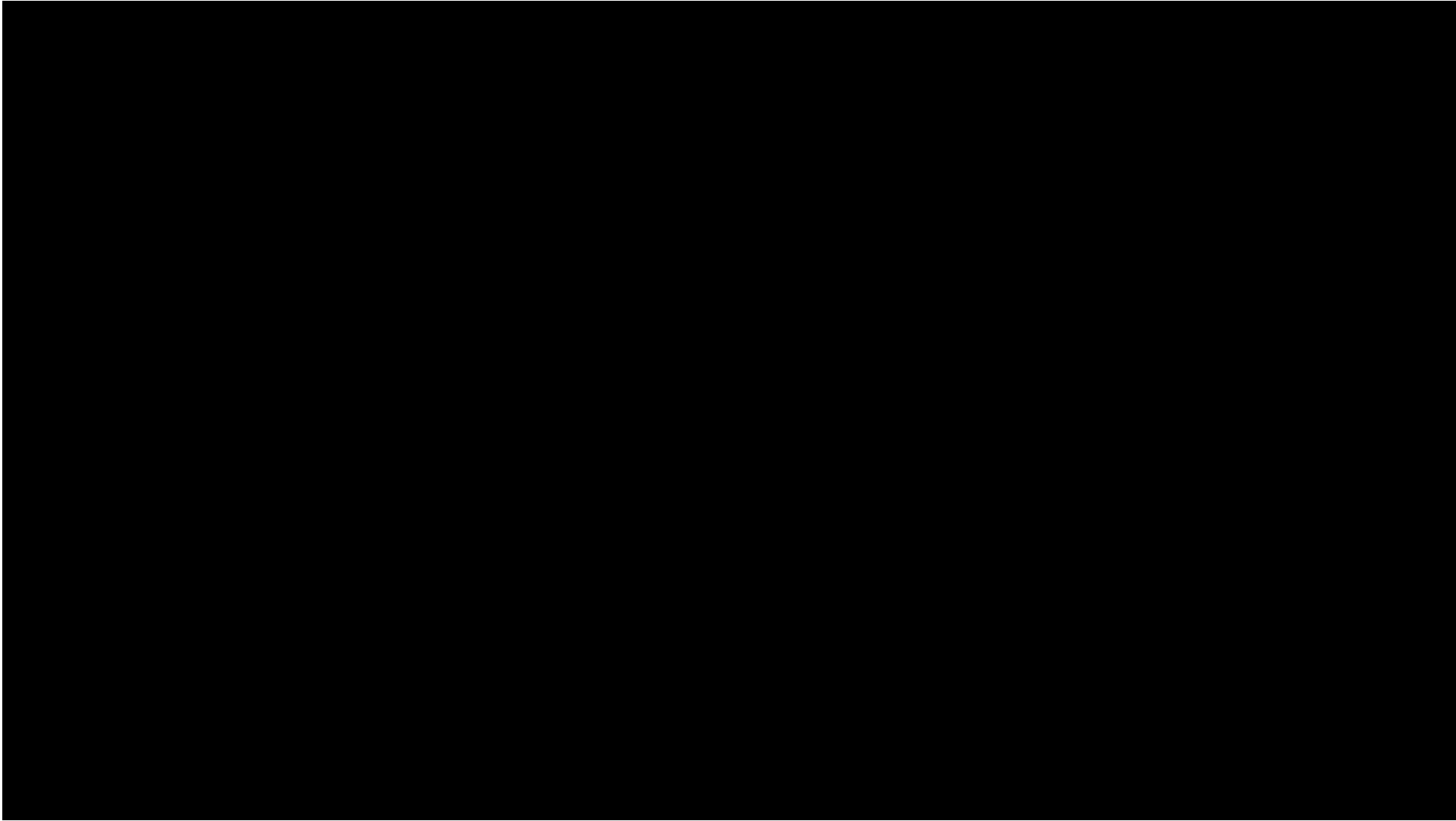
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When the button is toggled, it reveals testimonies about the impact of an expungement.



www.paperprisons.org/diary



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SECOND
CHANCES
EMPATHY HACK

SANTA CLARA UNIVERSITY
#secondchances

← Demo

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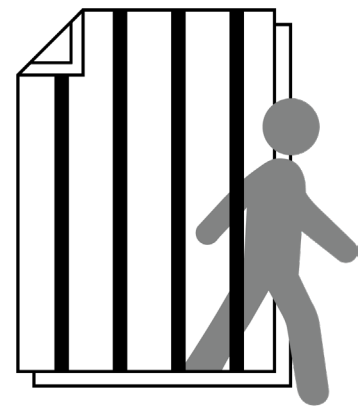
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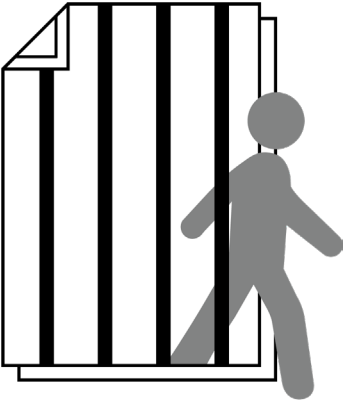
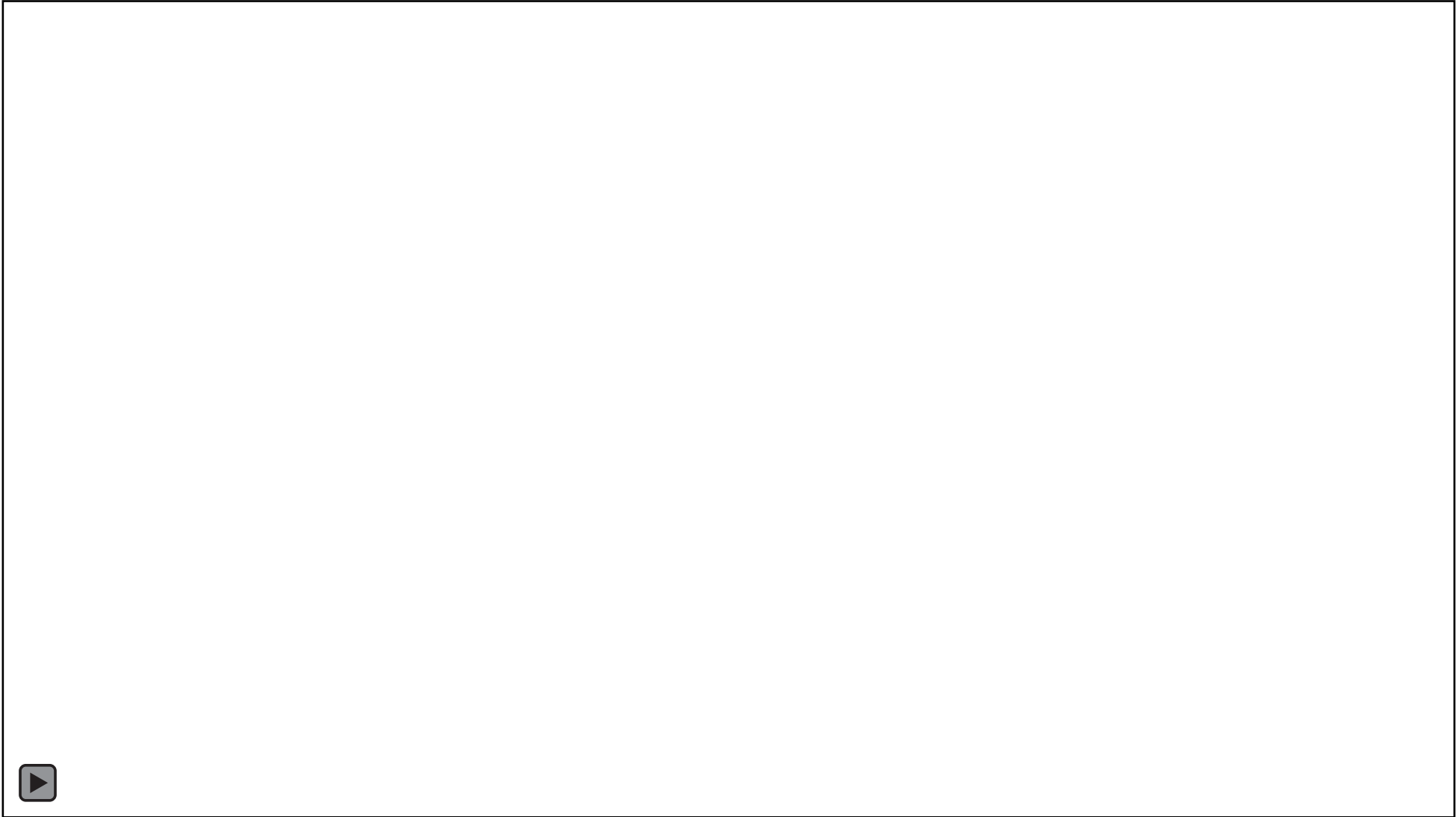
➡ Bots and things

Generative AI & Legal Aid: Results from a Field Study and 100 Use Cases to Bridge the Access to Justice Gap



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Pre-Trial Bots



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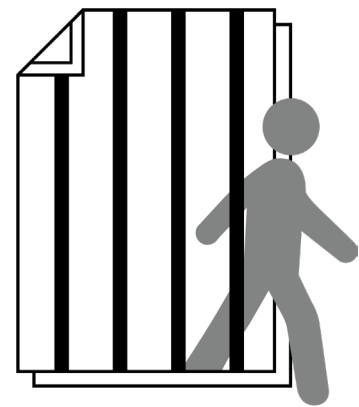
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Bots and things

→ **Generative AI & Legal Aid: Results from a Field Study and 100 Use Cases to Bridge the Access to Justice Gap**



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